

Optimization of PLGA formulation containing protein or peptide-based antigen: Recent advances

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Abstract: Protein or peptide-based antigens are the most promising forms to generate custom protective immune responses for clinical applications. Over the last decades, poly(lactic-co-glycolic acid) (PLGA) as a biodegradable polymer has gained more attention for delivery of protein and peptide. Besides many appropriate characteristics, to improve its properties to overcome some obstacles such as release profile and its important instability of antigen during both encapsulation and storage. Therefore, optimized procedures conditions require to be used to maintain the integrity of protein structure under

several stress factors in formulation process. In this review article, the properties of PLGA particles, their preparation techniques and strategies for improvement of protein stability during encapsulation into PLGA, release from particle and storage as well as stabilization approaches were summarized. © 2018 Wiley Periodicals, Inc. *J. Biomed. Mater. Res. Part A*: 106A: 2540–2551, 2018.

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INTRODUCTION

In the past few years, major efforts in the field of immunotherapy have led to the development of protein or peptide-based vaccines.¹ Potency of these vaccines can be significantly intensified via encapsulation of proteins into biodegradable particles by improvement of the antigen properties, targeting of antigen to defined cell types of the immune system and facilitating co-delivery of antigen and adjuvants.^{2,3} Designing delivery systems for these agents depends on their biophysicochemical and physiological characteristics including molecular size, biological half-life, immunogenicity, conformational stability, dose requirement, site and rate of administration, pharmacokinetics, and pharmacodynamics properties.^{4–8} Different carrier systems such as polymeric nanoparticles (NPs), liposomes, and solid lipid NPs have been designed for efficient delivery of proteins and peptides.⁹ In this aspect, an increasing interest in applying of biodegradable polymers for antigen delivery has been observed.^{10,11} These polymers could

protect the encapsulated drug from enzymatic degradation and improve the efficiency of antigen delivery. Polymeric NPs also exhibit high stability in biological fluids compared to liposomes and solid lipid NPs. Many studies have been widely reported on the successful encapsulation of peptides and proteins into FDA approved synthetic polymer, poly(lactic-co-glycolic acid) (PLGA), with respect to loading and encapsulation efficiency, as well as nanoparticle size and morphology.^{10,12} The insertion of antigen into PLGA nano or microparticles can also offer other advantages such as bioavailability and sustain release of antigens or adjuvants in order to enhance immune stimulation.^{9,13,14} Table I presents some examples of peptide or protein-based antigens formulated into PLGA NPs.

Although PLGA is the most frequently used carriers in peptide or protein-based antigens formulations, it is essential to improve its properties to overcome some obstacles such as release profile and instability of antigen during

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TABLE I. Some Examples of Peptide or Protein-Based Antigens Formulated into PLGA NPs

Antigen	Clinical Uses	Reference
Tetanus toxoid (TTxd)/diphtheria toxoid (DTxd)	Tetani and Diphtheria	15
HBsAg	Hepatitis B	16
SAG1/SAG2	Toxoplasmosis disease	17
Yersinia pestis F1 antigen	Pestis disease	18
Gp120 from HIV	Vaccine for acquired immunodeficiency syndrome (AIDS)	19
OVA/PA/HA	Influenza disease	20
Tetanus toxoid(TT)	Tetanus disease	21
Bee venom phospholipase A2 (PLA2)	Allergy vaccines	22
Ole e 1 allergen from olive	Allergy vaccines	23
Human interferon- α (IFN- α)	Treatments of hepatitis B, C, hairy cell leukemia, and Kaposi's sarcoma	24
E2 envelope glycoprotein of HCV type (HCV-E2)	Anti-HCV vaccines	25
Insulin	Antidiabetic	26
OVA24, a 24-residue long synthetic antigenic peptide covering a CTL epitope of ovalbumin (SIINFEKL)	Peptide-based therapeutic cancer vaccines	27
Recombinant caryota mitis profilin (rCmP)	Immunotherapy of allergic asthma	28
<i>Chenopodium album</i> (Che a 3)	Immunotherapy of allergic rhinitis	29

encapsulation procedure and storage.^{30–32} In this review article, the properties of PLGA micro/nanoparticles, their preparation techniques, the strategies for improvement of antigen delivery, and stabilization approaches were summarized.

PHYSICOCHEMICAL AND BIOLOGICAL PROPERTIES OF PLGA

PLGA is one of the most commonly used polymers for encapsulation of therapeutic agents, especially peptides and proteins.^{33–36} This polymer has been studied extensively for its remarkable characteristics such as the sustained delivery of proteins and therapeutic agents,³⁷ biocompatibility, biodegradability with minimal systemic toxicity, and good mechanical strength. PLGA contains two α -hydroxy acids, lactic acid, and glycolic acid, which could be hydrolyzed in aqueous solutions via the citric acid (Krebs) cycle to carbon dioxide and water (Fig. 1).³⁸

The PLGA polymers that are commercially available usually characterized in terms of intrinsic viscosity, mechanical strength, swelling behavior, hydrolysis capacity, and biodegradation rate according to different stoichiometric ratios of lactic/glycolic acids and molecular mass.^{10,11,39} Previous studies have been demonstrated that the encapsulation efficiency of drug into PLGA particles can be effected by factors such as polymer composition, particle size, surface charge, and drug content.^{39,40} For drug and antigen delivery, mainly amorphous D,L-PLGA, has been used with different amount in LA:GA monomer ratio (50:50 up to 100:0), molecular weight of the polymer (M_w of

approximately 10–100 kDa), and end-group chemistry (free carboxylic acid or esterified carboxylic acid).^{41,42}

COMMONLY USED METHODS FOR ANTIGEN ENCAPSULATION INTO PLGA PARTICLES

There are several methods used to prepare PLGA particles for drug delivery which could be affected on the size of particles, entrapment efficiency, biological activity, release profile, and stability of drugs in the polymer matrix. The most commonly used techniques for encapsulation of peptide or protein-based antigens into PLGA particles are spray-drying, double emulsion/solvent evaporation, phase separation, and also nanoprecipitation techniques⁴³ (Fig. 2). In double emulsion solvent evaporation technique (water/oil/water, W1/O/W2), the first step is emulsifying of drug in aqueous medium with a nonmiscible organic solution of the polymer such as dichloromethane (DCM) and ethyl acetate by homogenization or sonication to form a water/oil (W/O) emulsion. In the next step, this emulsion is dispersed by rapidly transferred into a larger aqueous volume containing a suitable stabilizer usually polyvinyl alcohol by high-speed homogenizer or sonicator to form the double emulsion.^{10,44,45} In phase separation technique, an organic nonsolvent is added to the first W/O emulsion under stirring condition which gradually extracts the polymer solvent. After that the polymer is exposed to phase separation and it forms particles which encapsulate the drug. In spray-drying method, the first W/O emulsion is further atomized in a flow of drying air at a slightly increased temperature resulting in the formation of nanoparticles that are gathered from the airstream by a cyclone separator. The process is followed by the evaporation of the residual vaporizable organic solvent by magnetic stirring at room temperature or under reduced temperature and finally particles are separated by centrifugation, washing, and lyophilization.^{10,42,45–51}

Nanoprecipitation technique was the first method for loading of protein or peptide-based antigen which was the one-step nanoprecipitation based on solvent displacement. In

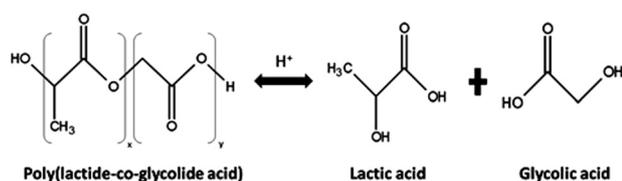


FIGURE 1. Hydrolysis of poly(lactic-co-glycolic acid).

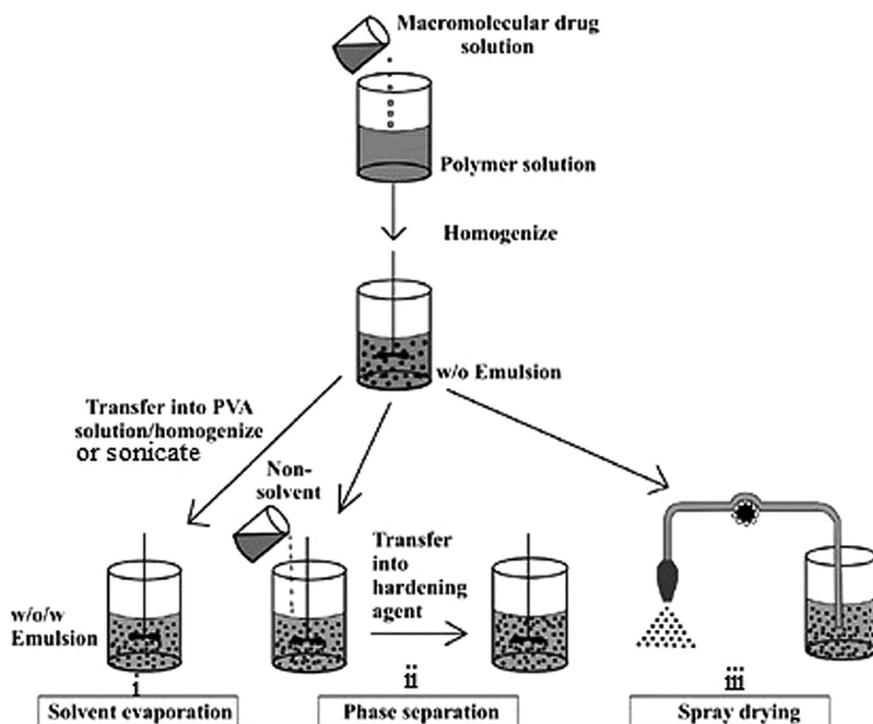


FIGURE 2. Comparison of encapsulation methods: (i) solvent evaporation, (ii) polymer phase separation, and (iii) spray-drying. Aqueous solution is dispersed in the organic polymer solution (W/O) emulsion; the W/O emulsion is processed further by specific methods to prepare the drug-loaded PLGA particles [37].

this method, the solution containing drug and the polymers dissolved in a water-miscible organic solvent and added into an aqueous solution containing surfactant. NPs are formed spontaneously due to precipitation of polymer in the aqueous environment. Proteins are poorly encapsulated within polymer nanoparticles using this method because of their limited

solubility in organic solvents. Morales-Cruz et al. showed that protein could be loaded in PLGA NPs by two-step nanoprecipitation method with high efficiency. The first step in this new method consists in solvent-induced nanoprecipitation of the protein. Then, encapsulation was accomplished by a subsequent polymer nanoprecipitation step (Fig. 3).⁵²

The advantages and disadvantages of mentioned methods for encapsulation of peptide or protein-based antigens are summarized in Table II.

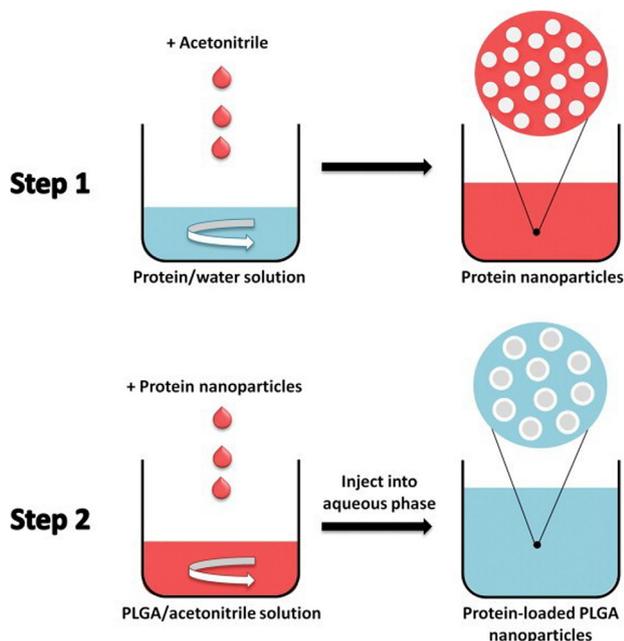


FIGURE 3. Two-step nanoprecipitation method for the encapsulation of proteins into PLGA particles [53].

CHALLENGES IN LOADING OF PEPTIDE OR PROTEIN-BASED ANTIGENS INTO PLGA PARTICLES

One of the most important challenges in the synthesis of PLGA carriers is loss of structure and possible immunogenicity (antigenicity) of the encapsulated antigens.⁶⁸⁻⁷⁰ Different factors could be affected on stability of proteins during formulation such as shear stresses or other physical forces, organic solvents, and lyophilization.⁷¹⁻⁷³ Instability has also been observed during storage and in *in vivo* environment.^{69,74} Therefore, it is important to maintain the conformational structure of antigen in encapsulation process and storage.⁵⁸

Physical instability

Physical instability often develops through conformational alterations leading to denaturation, surface adsorption, aggregation, or precipitation of the antigen which probably causes unwanted immunogenicity. It has been shown that the exposure of the protein to an organic solvent or aqueous/organic interfaces followed by the unfolding of protein

TABLE II. Some of Advantages and Disadvantages of PLGA Encapsulation Methods for Peptide or Protein

Methods of Peptide or Protein-Based Antigens Encapsulation into PLGA	Advantages	Disadvantages	Reference
Solvent evaporation	<ul style="list-style-type: none"> • Proteins used an aqueous solution • High yields • High encapsulation efficiencies • Size reduction by additives used for nanoparticle • Use of nonhighly toxic solvents (i.e., ethyl acetate). • Suitable for hydrophilic (double emulsions) and hydrophobic active components 	<ul style="list-style-type: none"> • Complex process • High consumption of energy • Sensitive to polymer properties • Difficulties in modifying release profiles • Arguments related to the shelf life of antigens and stability • Large size of the nanoparticles formed • Leakage of the hydrophilic active component 	44,53,58
Coacervation (polymer phase separation)	<ul style="list-style-type: none"> • Suitable to encapsulate both water soluble as well as water-insoluble drugs 	<ul style="list-style-type: none"> • Entirely sensitive to polymer properties • Common problem is accumulation 	10,11,43,59,62
Spray-drying	<ul style="list-style-type: none"> • Occur in the liquid phase • Reproducibility • Well-defined control of particle size • Control of drug release 	<ul style="list-style-type: none"> • Lack of any emulsion stabilizer • High costly • Requires lyophilization of protein • Lower stability due to induce aggregation and denaturation 	63,65
Nanoprecipitation	<ul style="list-style-type: none"> • Use of nonhighly toxic solvents (i.e., acetone) • Reduced energy consumption because it only requires regular stirring • Using additives for size reduction 	<ul style="list-style-type: none"> • Time consuming of Solvent removed by evaporation • Suitable for drugs to be highly soluble in polar solvents (i.e., acetone) and slightly soluble in water • Lower loading efficiency • Polymer concentration effect on Nanoparticle size 	53,66,67

finally leads to denaturation and aggregation.^{11,75} The type of organic solvent and hydrophobic contacts between the protein and PLGA can also influence on protein instability and may lead to protein unfolding and aggregation.^{76,77}

In addition, invariable aggregations and nonspecific adsorptions can occur due to hydrophilic interactions and also acidic microenvironment during degradation of PLGA

polymers that result in a condition called incomplete release.^{75,78,80} Previous studies have shown that proteins with positive charge could interact with the PLGA degradation components thus inhibiting its release.^{56,69} Denaturation and aggregation of proteins are common phenomena during freezing and subsequent dehydration.^{81,84} It was shown that the amorphous nature of PLGA may help in

TABLE III. Some Protein Stabilizers and Their Mechanism of Actions Used in PLGA Formulation

Stabilizer of Peptide or Protein-Based Antigen	Examples from Stabilizer	Antigen	Mechanism	Reference
Osmolytes	Cyclodextrins	Tetanus toxoid	Interactions between amino acids and the hydrophobic inner cavity of cyclodextrins	69
Other proteins	Albumin	Tetanus toxoid (Ttxd)	Cumulation at the water/ organic solvent interface, shielding the interface from the protein of interest	107
	Bovine serum albumin	Diphtheria toxoid (Dtxd)	Cumulation at the water/ organic solvent interface, shielding the interface from the protein of interest	108
Salts	Mg(OH) ₂	Bovine serum albumin	Buffering agents to rectify acidic nanoenvironment	109
	Zinc oxide	Insulin	Buffering agents to rectify acidic nanoenvironment	110
Surfactants	Poly vinyl alcohol	Glutathione S-transferase of <i>Schistosoma mansoni</i> (rSm28GST)	A steric barrier between the W ₁ /O interface	74,111

TABLE IV. Some Surface Modification Agents of PLGA

Surface Modification Agents of PLGA	Examples	Mechanism	Reference
PEG	Bovine serum albumin	<ul style="list-style-type: none"> Increases the hydrophilicity of PLGA Enhance the affinity of protein for the matrix polymer and lead to better encapsulate efficiency 	[138,139]
N-trimethyl chitosan (TMC)	HBsAg	<ul style="list-style-type: none"> Carries a positive charge that can be utilized for cellular and anatomic targeting of NPs 	[140]
Chitosan	Elcatonin	<ul style="list-style-type: none"> Carries a positive charge that can be utilized for cellular and anatomic targeting of NPs 	[141]
Protamine	Ovalbumin (OVA)	<ul style="list-style-type: none"> Enhance the cross-presentation of encapsulated exogenous antigen by facilitating antigen uptake and lysosomal escape 	[142]
Cationic emulsion stabilizer	<ul style="list-style-type: none"> Poly(ethyleneimine) Stearylamine 	<ul style="list-style-type: none"> Provide the necessary surface charge for ionic adsorption of counter-ions. Alternatively, biodegradable polymers carrying ionic groups may be used to prepare unloaded particles 	[143–145]
Targeting agents	Recombinant proteins	<ul style="list-style-type: none"> Maintained its binding ability 	[146]
Antibodies	Antibody recognizing Siglec-7 receptor	<ul style="list-style-type: none"> With preservation of binding activity antibodies attachment and delivery of the entrapped payload directly into the cell 	[147]
Peptides	RGD (Arg-Gly-Asp) as a β 1 integrin ligand	<ul style="list-style-type: none"> Better accessibility of the ligand at the nanoparticle surface so increased the transport of the nanoparticles 	[148]

preventing drying-induced harm to encapsulated proteins.^{56,58,85}

Chemical instability

Several internal chemical degradations have been reported such as oxidation, deamination, amide bond hydrolysis, or acylation which lead to protein degradation in PLGA particles.^{85–88} Although the storage stability may be a tiny issue when compared to the stability problems encountered during preparation and release steps, it has become clear that proteins are not necessarily stable in the solid state.^{72,85,89} In this condition, hydrolysis of polymer can be initiated in the presence of small amount of moisture caused pH drop. Polymer and encapsulated proteins can have some interactions such as formation of amide linkage between amines of protein and carboxyl group of the degraded polymer.⁹⁰ The sugars as lyoprotectants cause the reduction of these interactions by the formation of covalent bonds with lysine residue of proteins.^{75,90}

STRATEGIES FOR IMPROVEMENT OF ANTIGEN DELIVERY USING PLGA PARTICLES

Denatured or aggregated peptide or protein-based antigen will not only be therapeutically inactive but also may cause unpredictable side effects, such as immunogenicity or toxicity.⁹¹ It is hence important to devise the improvement of formulation strategies for protein stability.

It was shown that the size of particles containing antigen could influence the immune response, therefore, the optimization of the preparation method to obtain the different size of NPs is an important parameter.^{92–94} In our previous study, encapsulated rChe a 3 allergen (*Chenopodium album*) into PLGA NPs with different sizes (about 200, 400, and 800 nm) showed different encapsulation efficacy and release

patterns.⁹⁵ Gutierrez et al. observed significant differences in serum IgG2a/IgG1 ratios after using the different size of bovine serum albumin (BSA)-PLGA NPs compared to either free antigen or free antigen adsorbed to alum. However, these ratios were similar for each particle size (200, 500, and 1000 nm) among the subcutaneous, oral, and intranasal routes.⁹² Recently, it was shown that PLGA-encapsulated rChe a 3 allergen with 400 nm size could significantly enhance systemic T regulatory (Treg) and T helper 1 (Th1) immune responses by sublingual administration.²⁹ Some factors which could be affected on the size, encapsulation efficiency, release, and stability of antigen-encapsulated PLGA particles include solvent, sonication time, polymer properties, stabilizers, osmolytes, and insoluble metal complexes, addition of several proteins, salts, surfactants, and chemical modification.

EFFECT OF SOLVENT

Solvent type in the synthesis of PLGA NPs could effect on size, antigen encapsulation efficiency, and stability.^{51,96} For example, it was shown that in encapsulation of BSA into poly(lactide) (PLA) NPs using various solvents, BSA contents were comparable when both DCM and ethyl acetate were used as polymer solvents.⁸⁰ In our previous study, PLGA NPs containing rChe a 3 allergen showed smaller size and higher encapsulation efficiency when DCM and acetone (ACE) (4:1, v/v) was used as the solvent system compared to DCM alone. Since acetone has more polarity index than DCM, it causes an increase in miscibility of organic phase in the drug-loading process (W/O/W).⁹⁵ Conversely, the lower encapsulation efficiency of rChe a 3 was observed, when the DCM/ACE volume ratio was decreased, because more protein molecules were carried into the aqueous phase or at the organic solvent/water interface by a considerable amount of acetone.⁹⁵

Similarly, aggregate formation increased and antigenicity corrupted following exposure of tetanus toxoid (Ttxd) to DCM whereas ethyl acetate exerted little effect.⁶⁸ During preparation of W₁/O emulsions, the Diphtheria toxoid (Dtxd) precipitated in contact with PLA and PLGA in ethyl formate but remained soluble when replaced with DCM. Also, residual solvents in the NPs can have detrimental influences on both antigen and PLGA properties.⁴² In another study, Ttxd or insulin was encapsulated into PLGA NPs by solid-in oil-in-water and double emulsion methods in the present of different solvents. The results showed that in encapsulation of Ttxd into PLGA, the highest entrapment efficiency (about 95%) could be obtained both for NPs prepared by the double emulsion technique and with using both ethyl acetate and methylene chloride as organic solvents. Conversely, ethyl acetate leads to smaller mean size than methylene chloride. For loading of insulin in PLGA NPs, methylene chloride was able to improve entrapment efficiency more than ethyl acetate during the double emulsion procedure (13).

EFFECT OF SONICATION PROCESS

It was demonstrated that the duration and intensity of sonication could modify the size and distribution of the PLGA particles. These factors have the greatest effect in the final mean particle size in second step than the first step of sonication (water in oil emulsion) so that the mean size decrease when the sonication time increase, until reaching a plateau.^{97,98} In our previous study, an increase in the sonication time could lead to the reduction of size and polydispersity index of PLGA nanoparticle containing rChe a 3 allergen.⁹⁵

To minimize the deleterious effect of shearing and sonication stress on protein stability and encapsulation efficiency during PLGA formulation, different strategies have been developed such as reducing the exposure time or the intensity of the stress and the use of additives or appropriate organic solvent systems.⁹⁹ In different researches, a decrease in entrapment efficiency was observed by increasing sonication time, may be due to leaching of entrapped drug during higher sonication.^{99,100}

EFFECTS OF POLYMER PROPERTIES

Since PLGA polymers are different in their properties such as the degradation rate, the acidity of the degradation products, and hydrophobicity, so, the type of polymer used for the synthesis of PLGA particles can effect on the stability and release of encapsulated proteins.¹⁰¹ In general, hydrophilic polymer with faster degradation and higher release rate can be obtained by low lactic/glycolic acid ratio, low M_w , and uncapped of PLGA polymer.^{40,102,103} Thomasin et al. showed two antigens, Ttxd, and a weakly immunogenic synthetic branched malaria peptide (P30B2), encapsulated into PLGA50:50–14 kDa and PLGA75:25–17 kDa by spray-drying had different kinetic release and immune response. They had burst release during the first 24 h and an additional release pulse toward the end of polymer degradation. The maximum release for both P30B2-PLGA50:50–14 kDa and Ttxd-PLGA50:50–14 kDa appeared around day 28 while for PLGA75:25–17 kDa, this time point was shifted to day

43 and day 70 for P30B2 and Ttxd, respectively. The difference between the release pattern of two antigens may be due to higher M_w of Ttxd (150 kDa) than P30B2 (16 kDa) which exhibit stronger interaction with the polymeric carboxyl groups. After immunization, P30B2-PLGA50:50–14 kDa with the fast degradation rate and small-sized microspheres could produce an immediate and strong antibody response compared to PLGA75:25–17 kDa containing P30B2 with more gradual increase in antibody titers¹⁰⁴

The introduction of hydrophobic groups (e.g., fatty alcohol or acid moieties) into the polymer chain may serve to sustain antigen stability by decaying water uptake and subsequent moisture-induced antigen instability.²⁸ For example, BSA encapsulated into stearyl-poly(L-lactide)-stearate (10–20 kDa) and oleyl-poly(L-lactide)-oleate showed slow release over 15 weeks (20–40%) due to the slow polymer degradation.^{105,106}

EFFECT OF STABILIZERS

Different protein stabilizers have been used in PLGA formulations including osmolytes, insoluble metal complexes, other proteins, salts, and surfactants.

Osmolytes

The most commonly used protein stabilizers in formulations are osmolytes such as polyols and carbohydrates.^{68,112} The stabilizing properties of osmolytes appear to be equilibrium between their binding to (deteriorating effect) and exclusion from (stabilizing effect) the antigen surface with hydrophobic or electrostatic interactions and hydrogen bonding.^{113,114}

Some examples of osmolytes agents include cyclodextrin, trehalose, mannitol, dextran, carboxymethyl cellulose⁴ and hydrophobic compounds such as ethyl stearate, sodium acetate, and sodium glutamate.^{75,115} Cyclodextrins used for encapsulating tetanus toxoid (Ttxd) in PLGA NPs increased Ttxd encapsulation efficacy, also prevented aggregation during encapsulation may be due to interactions between amino acids and the hydrophobic inner cavity of cyclodextrins.^{69,116}

In the emulsion method, trehalose and other sugars covers the proteins in the organic solvent by preferential hydration and act as a water substitute, thereby prevent organic solvent-protein contacts.^{117,118} Lyoprotectants such as dextran, glycol, glycerol, and cyclodextrin have been found to minimize instability of proteins in freeze-dried formulations.^{86,87} The protective influence of these compounds maybe attribute to their amorphous, glass-forming characteristics, and their role as a water substitute in the solid state.^{7,37,82,119,121}

Addition of proteins or amino acids

BSA is commonly used as a stabilizer in protein formulations. The protective effect of BSA can may be related to cumulation at the water/organic solvent interface, thereby covering the interface from the protein of interest.¹²² Johansen et al. showed that albumin or a mixture of albumin and trehalose enhanced the encapsulation efficacy and stability of tetanus toxoid (Ttxd) into PLGA during preparation by spray-

drying and *in vitro* release.¹⁰⁷ In another study, the effect of different excipients on the stability of Tetanus Toxoid (TT) Encapsulated in PLGA Microspheres was evaluated. The results showed lysine or histidine significantly improved TT antigenicity upon exposure to moisture in the solid state and heat in solution may be due to the presence of side chains derived from the incorporation of lysine into toxoid.¹²³

Salts (antacids)

PLGA hydrolysis results in the decrease of the pH inside the nanospheres due to the release of acidic degradation components. Therefore stimulate the denaturation or aggregation of encapsulated protein leading to loss of antigenicity.^{75,124,125} Several techniques have been used to overcome this obstacle such as inserting poorly soluble bases or pore-forming factors, increasing loading of antigen or water-soluble additive, and reducing the level of PLGA hydrolysis.^{109,126} Basic salts such as sodium bicarbonate and magnesium hydroxide may be incorporated as buffering agents into the matrix to rectify acidic nanoenvironment. Furthermore, since the acidic microclimate resulting from accumulation of polymer degradation products^{127,128} is a major factor causing protein instability, then, many studies showed that addition of buffering salts can stabilize encapsulated proteins. For example, Mg(OH)₂ increased the microclimate pH in PLGA polymer containing BSA resulting in protein stability.¹⁰⁹

Metal ions such as zinc could act as antigen stabilizers by formation of reversible complex with protein, then this complex encapsulated in nanoparticles. Antigen physicochemical integrity can be preserved for prolonged periods of time, until protein dissociated from the complex and release from the NP.⁴² It was shown that zinc salts could prevent the changes of α -helix and β -sheet values of insulin in exposure to the oil-water interface during the primary emulsification step subsequently resulting in the preservation of the secondary structure of insulin.¹²⁹

Surfactants

The addition of surfactants to PLGA formulation stabilizes proteins against denaturation during several steps from insertion to release at the site of delivery.¹³⁰ The protection offered by surfactants is primarily a function of their surface activity including decreasing the mass transfer rate of antigen to the W₁/O interface, thus reducing encapsulation of interface-denatured antigen. Therefore, surfactants provide additional protection against unalterable aggregation of partially denatured antigens.¹³¹ However, surfactant should be restricted to the minimum level required to avoid possible toxic and hypersensitivity reactions.^{8,132} Poly vinyl alcohol (PVA) was historically used to stabilize proteins during emulsification and these remains until today, especially for stabilization of the secondary emulsion.⁵⁹ PVA also was used as a steric barrier between the W₁/O interfaces to preserve the integrity of the recombinant 28 kDa glutathione S-transferase of *Schistosoma mansoni* (rSm28GST).^{74,96} It has been demonstrated that using PVA in lower molecular weight and lower degree of hydrolysis resulted in the PLGA NPs with smaller particle sizes.^{133,134} The concentration of

PVA could effect on the particle size of PLGA NPs. PVA in 1 and 5% concentrations was applied in the synthesis of PLGA NPs containing rChe a 3 allergen. It was shown that the mean diameter of the NPs with PVA 5% was lower than formulation using PVA 1%.⁹⁵

Azizi et al. utilized Sorbitan monostearate (Span 60) and Tween 80 as surfactant in different concentrations in organic (internal) and aqueous (external) phase, respectively, for encapsulation of BSA into PLGA NPs. Lower mean particle sizes were obtained with higher concentration of Span 60 (14% (w/w)) and lower concentration of Tween 80 (4% (w/v)) in the presence of PVA 1%. The results showed that the role of Span 60 on NPs size is more important than Tween 80 because the higher emulsifier concentration in outer phase resulted in higher viscosity, leading to highly aggregated droplet and resistance toward shear forces in the second emulsion.¹³⁴

In another study, BSA-loaded PLGA nanoparticle or micro-particles fabricated by W/O/W method using different emulsifiers such as PVA, poloxamer, or polyvinylpyrrolidone (PVP). The results showed for preparation both submicrons sized- and microparticles, PVA and poloxamer are efficient, but for nanoparticle smaller than 220 nm only PVA are useful. Also PVP was efficient in microparticle synthesis.¹³⁵

Increasing concentrations of PVA had more inhibition effect on coalescence of the inner aqueous-phase droplets (144). Also, common surfactants such as Tweens and Pluronics F68 have been investigated³⁸ but have not been very successful as a stabilizer in the emulsification step.^{68,74} The poor protection by surfactants may be explained by inadequate competition with the protein for the water/organic solvent interface, or improvement of organic solvent/protein contacts through hydrophobic contacts with both components.^{68,74,136} Interestingly, poloxamer 188 decreased the percentage of BSA encapsulated in PLGA, aggregates from 31 to 5%, as estimated from the difference between BSA total and BSA monomer.¹³⁷ This stabilizer have been shown to stabilize the primary emulsion and to reduce protein-polymer interactions.^{32,137}

SURFACE MODIFICATIONS OF PLGA

The surface of PLGA particles could be modified in order to improve physicochemical properties and enhance immunogenicity of antigen with different agents (Table IV).

Poly ethylene glycol (PEG) is the most commonly copolymer for encapsulation of peptide or protein-based antigen into PLGA particles.^{149,151} It is thought that PEG by increases the hydrophilicity of PLGA polymers may enhance the affinity of protein for the matrix polymer and lead to better encapsulate efficiency.^{138,139}

Ya-Ping et al. fabricated PEG-PLGA-BSA nanoparticles by double emulsion method. Intravenous administration assesses over 24 h in rats. The entrapment efficiency, particle size and zeta potential were 48.6%, about 200 nm and -16.1 mV, respectively. This nanoparticle in compared with that of PLGA nanoparticles could increase BSA half-life from BSA release from 13.6 min to 4.5 h, and also they alter biodistribution in rats.⁵⁵ When used *N*-trimethyl chitosan (TMC)-coated HBsAg-

PLGA particles for nasal immunization of mice in compare to loaded only HBsAg loaded PLGA particles, resulted to strong antibody production.¹⁵² Elcatonin-PLGA-chitosan (CS) complex were fabricated by emulsion solvent diffusion method. These surface modification resulted to slowly eliminated from the lungs, prolonged the pharmacological action to 24 h, retention of nanospheres adhered to the bronchial mucus and lung tissue and sustained drug release at the adherence site (enhanced the absorption of drug). The absorption-enhancing effect may have been caused by opening the intercellular tight junctions.¹⁵³ In another study, PLGA-OVA were coated with cationic and arginine-rich protamine. These coated particles, produce higher antibodies and T-cell responses in mice. *In vitro* studies suggested that the improved immunological performance was mediated by an increased uptake.¹⁴² Charged PLGA particles prepared by solvent evaporation/extraction methods could be obtained using cationic emulsion stabilizer [poly(ethyleneimine); stearylamine] or anionic emulsifier (sodium dioctylsulfosuccinate; sodium dodecyl sulfate [SDS]) located in second water phase.¹¹⁸⁻¹²⁰ Previous studies showed adsorbed protein antigens and DNA on PLGA surface nanoparticle there have been highly efficient in stimulation of strong immune responses.¹⁵⁴

Targeting of antigen-loaded PLGA NPs has been developed to enhance cellular uptake by defined cell types of the immune system such as DCs or increase the immunogenicity of NPs.¹⁵⁵ For example, recombinant proteins with C-terminal region incorporated into PLGA NPs showed high affinity to Claudin-4 resulted in enhanced uptake by upper airway and intestinal M-cells on mice.¹⁵⁶ PLGA conjugated to antibodies recognizing sialic acid-binding immunoglobulin-like lectins (Siglec-7) receptor could successfully internalize into fibroblasts expressing this receptor.¹⁴⁷ Garinot et al. demonstrated that the conjugation of RGD (Arg-Gly-Asp) as a β 1 integrin ligand, into PEGylated PLGA NPs significantly increased uptake of particles in M-cells and induced an IgG response in *in vitro* and *in vivo* studies.¹⁴⁸

OTHER APPROACHES TO IMPROVE THE DELIVERY OF PROTEIN OR PEPTIDE-BASED ANTIGEN USING PLGA PARTICLES

Common nanoencapsulation methods involve relatively rough conditions that are not totally tolerated by antigens without stabilization. Therefore, new and improved procedures covering the antigen from disadvantaged conditions have been proposed and evaluated. One approach included of dispersing the antigen in a mineral oil before insertion into PLGA NP by an $O_1/O_2/W$ method. The mineral oil (O_1) was pre-designated as a barrier to protect the antigen during emulsification with the polymer solution and from exposure to moisture during release.¹⁵⁷ Atomization of PLA and PLGA solutions using gases, for example, CO_2 , in the supercritical or near-supercritical state has been proposed as an alternative route to prepare NPs.^{158,159} The ProLeaseR technology was developed to ensure maximum stability of proteins or peptides during and after nanoencapsulation. The method relies on the use of stabilizing and release-controlling agents,

low processing temperature, and nonaqueous nanoencapsulation.^{160,161} The main benefits of ultrasonic atomization of W_1/O dispersions techniques encompass the possibility of easy particle size control and scale-up, processing at ambient or reduced temperature, and the suitability for aseptic manufacturing in a small containment chamber such as an isolator.¹⁶² Exposure of antigen to potentially detrimental aqueous conditions or W_1/O liquid interfaces can be avoided by nonaqueous nanoencapsulation methods, typically administering dried antigen powders. The dry mood proposes increased stability owing to the decreased conformational flexibility and, therefore, less potential for structural disorderliness. Nanoencapsulation of solid antigen powders may involve the first step of either spray-drying or freeze-drying aqueous antigen, or locating the aqueous antigen into water-soluble excipients which act as protective barriers against the organic solvent; in a second step, the dry antigen powder or incorporated antigen is then diffused in the organic polymer solution.⁴² During BSA encapsulation into PLGA microparticle by a solid-in-oil-in-water (S/O/W) method, the protein secondary structure was less changed as compared to encapsulation by an aqueous $W_1/O/W_2$ method.¹²⁴ Coating the antigen in a stabilizing matrix has also proved to be effective against denaturation. HBsAg pre-embedded into hydroxypropyl cellulose (HPC) and then further encapsulated, as solid particles, into PLGA microspher remained 90% antigenicity properties.^{30,163} Using of protein- or peptide-counter-ion complexes showed the decrease of aqueous solubility of the protein or peptide, The improvement of its disperse in nonaqueous environment, such as organic (polymer) solvents and the increased structural stability due to restricted chain mobility of the protein in the complex.¹⁶⁴ Particle Replication in Non-wetting Templates (PRINT) technology is a high-resolution method for producing monodisperse nano/micro particles. In one study, cylindrical cationic PLGA-based NPs prepared by PRINT technology through surface were electrostatically bound to Hemagglutinin (HA) antigens in influenza vaccine (TIV).¹⁶⁵

CONCLUSION

Nanoparticles are useful for delivering several types of compounds, including small molecule drugs, therapeutic proteins, vaccines, and gene agents. Many studies have been widely reported on the successful encapsulation of protein or peptide-based antigen into PLGA; however, it is essential to improve its properties to overcome some obstacles such as release profile and instability of antigen during formulation procedure and storage. In this review, different types of protein instability in PLGA formulation process were discussed. It was shown that selective modification and design of a new generation of polymers as well as enhanced manufacturing processes can be equally applied to ensure nanoencapsulation and delivery of stable antigens.

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